

# NBI SmartData U.S. Equity Fund

Advisor Series  
Category: U.S. Equity

## Investment Objective

To provide long term capital growth. This fund invests directly, or through investments in securities of other mutual funds, in a portfolio mainly composed of equities of U.S. companies.

## Fund Details

### Investment horizon

Less than 1 year	At least 1 year	At least 3 years	<b>At least 5 years</b>
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### Fund volatility

Low	Low to medium	<b>Medium</b>	Medium to high	High
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Style	Sector Deviation	Market Capitalization
Value	Minimal	Small
<b>Blend</b>	Moderate	Mid
Growth	<b>Wide</b>	<b>Large</b>

<b>Minimum Initial Investment:</b>	\$500
<b>Subsequent Investment:</b>	\$50
<b>Systematic Investment:</b>	\$25
<b>Distribution Frequency:</b>	Annually
<b>Assets Under Management (\$M):</b>	\$1,217.5
<b>Price per Unit:</b>	\$17.45
<b>Inception Date:</b>	November 28, 2007
<b>Value of \$10,000 over 10 years:</b>	\$38,076

### Benchmark Index:

S&amp;P 500 (CAD)

**Management Fee:** 1.70%

**MER:** 2.16%

**Portfolio Manager:** Goldman Sachs Asset Mngt, L.P.

## Management Fee Reduction Plan<sup>1</sup>

Level	For High Net Worth Investors Amount Applicable to the level*	Reduction
1	First \$250,000	0.050%
2	Next \$250,000	0.100%
3	In excess of \$500,000	0.150%

<sup>1</sup> Subject to satisfying one of the Plan admissibility criteria.

\* The levels apply according to the market value of the assets.

## Available Fund Codes:

### Advisor Series

Initial Sales Charge	NBC490
Deferred Sales Charge	NBC590
Low Sales Charge	NBC690

### Advisor- U.S. \$ Series

Initial Sales Charge	NBC423
Deferred Sales Charge	NBC523
Low Sales Charge	NBC623

### F Series

NBC790

### F\_US Series

NBC723

### F5 Series

NBC5790

### FH Series

NBC5090

### H Series

Initial Sales Charge NBC5190

Low Sales Charge NBC5390

### T5 Series

Initial Sales Charge NBC5490

Deferred Sales Charge NBC5590

Low Sales Charge NBC5690

## Portfolio Asset Mix (% of Net Assets)



## Top Holdings (%)

Microsoft Corp	6.29
Apple Inc	5.10
Amazon.com Inc	3.93
Alphabet Inc Cl C	2.86
Facebook Inc Cl	2.70
Cash and Cash Equivalents	2.24
Berkshire Hathaway Inc Cl B	1.91
Alphabet Inc Cl	1.88
Johnson & Johnson	1.67
Visa Inc Cl	1.51

**Total of Top Holdings of the Fund (% of Net Assets):** 30.09

**Total Number of Securities Held:** 176

## Sector Allocation (%)

Information Technology	29.21
Health Care	13.17
Financials	13.13
Consumer Discretionary	12.53
Communication Services	9.95
Industrials	7.21
Consumer Staples	5.15
Real Estate	3.86
Materials	2.11
Utilities	2.11
Energy	1.57

## Weighting by Country (%)

United States	94.87
Cash, Money Market and Other Net Assets	1.52
United Kingdom	1.16
Other countries	0.94
Ireland	0.82
Switzerland	0.69

## Calendar Returns (%)

YTD	2020	2019	2018	2017	2016	2015
14.72	13.88	19.34	1.72	8.16	15.87	-0.98

## Annualized Returns (%)

1 month	3 months	6 months	1 year	3 years	5 years	10 years	Since Inception
-4.38	2.11	9.35	21.50	11.97	14.18	14.31	9.43

As at September 30, 2021

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## Disclosure

NBI Mutual Funds (the "Funds") are offered by National Bank Investments Inc., a wholly owned subsidiary of National Bank of Canada. Commissions, trailing commissions, management fees and expenses all may be associated with investments in the Funds. Please read the prospectus of the Funds before investing. The indicated rates of return are the historical annual compounded total returns which include changes in the value of securities and reinvestment of all distributions and do not take into account sales, redemption, distribution or optional charges or income taxes payable by any unitholder that would have reduced returns. The Funds' securities are not insured by the Canada Deposit Insurance Corporation or by any other government deposit insurer. For money market funds, there can be no assurances that a fund will be able to maintain its net asset value per security at a constant amount or that the full amount of the investment in a fund will be returned. The Funds are not guaranteed, their values change frequently and past performance may not be repeated.