

# NBI SmartBeta Global Equity Fund

Advisor Series  
Category: Global Equity

## Investment Objective

To provide long-term capital growth. The fund invests directly, or through investments in securities of other mutual funds, in a portfolio composed mainly of equity securities of companies located around the world that are selected using quantitative analysis of risk factors.

## Fund Details

### Investment horizon

Less than 1 year	At least 1 year	At least 3 years	<b>At least 5 years</b>
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### Fund volatility

Low	<b>Low to medium</b>	Medium	Medium to high	High
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Style	Sector Deviation	Market Capitalization
Value	Minimal	Small
Blend	Moderate	Mid
Growth	<b>Wide</b>	<b>Large</b>

<b>Minimum Initial Investment:</b>	\$500
<b>Subsequent Investment:</b>	\$50
<b>Systematic Investment:</b>	\$25
<b>Distribution Frequency:</b>	Annually
<b>Assets Under Management (\$M):</b>	\$678.6
<b>Price per Unit:</b>	\$12.11
<b>Inception Date:</b>	October 30, 2015
<b>Value of \$10,000 since inception:</b>	\$13,722
<b>Benchmark Index:</b>	MSCI World
<b>Management Fee:</b>	1.75%
<b>MER:</b>	2.07%
<b>Portfolio Manager:</b>	National Bank Trust Inc.
<b>Sub-Advisor:</b>	Rothschild & Co Asset Management US Inc.

## Management Fee Reduction Plan<sup>1</sup>

For High Net Worth investors  
Amount Applicable

Level	Amount to the level*	Reduction
1	First \$250,000	0.050%
2	Next \$250,000	0.100%
3	In excess of \$500,000	0.150%

<sup>1</sup> Subject to satisfying one of the Plan admissibility criteria.

\* The levels apply according to the market value of the assets.

## Available Fund Code:

### Advisor Series

Initial Sales Charge	NBC498
Deferred Sales Charge	NBC598
Low Sales Charge	NBC698
<b>F Series</b>	<b>NBC798</b>

## Portfolio Asset Mix (% of Net Assets)



## Sector Allocation (%)

Health Care	15.87
Consumer Staples	12.88
Industrials	12.47
Information Technology	11.12
Consumer Discretionary	10.88
Financials	10.37
Communication Services	9.42
Utilities	9.42
Materials	5.28
Real Estate	1.26
Energy	1.03

**Gross Current Yield (%)<sup>‡</sup>** 2.23

## Calendar Returns (%)

YTD	2020	2019	2018	2017	2016	2015
4.31	5.83	13.43	-0.55	10.53	-2.54	-

## Annualized Returns (%)

1 month	3 months	6 months	1 year	3 years	5 years	10 years	Since Inception
-3.81	1.34	5.30	8.90	6.03	6.36	-	5.49

## Top Holdings (%)

iShares MSCI All Country Wld Min Vol Idx ETF	1.18
Shimano Inc	0.89
SoftBank Corp	0.61
Jardine Matheson Holdings Ltd	0.58
Cash and Cash Equivalents	0.56
Evergny Inc	0.50
Verizon Communications Inc	0.44
AT&T Inc	0.42
Ajinomoto Co Inc	0.40
Capitaland Investment Ltd/Singapore	0.38
<b>Total of Top Holdings of the Fund (% of Net Assets):</b>	<b>5.96</b>
<b>Total Number of Securities Held:</b>	<b>507</b>

<sup>‡</sup>Annual income paid by a bond or a stock, expressed as a percentage of its current market price. It does not include any capital gains or losses that may be realized upon maturity.

As at September 30, 2021

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## Disclosure

NBI Mutual Funds (the "Funds") are offered by National Bank Investments Inc., a wholly owned subsidiary of National Bank of Canada. Commissions, trailing commissions, management fees and expenses all may be associated with investments in the Funds. Please read the prospectus of the Funds before investing. The indicated rates of return are the historical annual compounded total returns which include changes in the value of securities and reinvestment of all distributions and do not take into account sales, redemption, distribution or optional charges or income taxes payable by any unitholder that would have reduced returns. The Funds' securities are not insured by the Canada Deposit Insurance Corporation or by any other government deposit insurer. For money market funds, there can be no assurances that a fund will be able to maintain its net asset value per security at a constant amount or that the full amount of the investment in a fund will be returned. The Funds are not guaranteed, their values change frequently and past performance may not be repeated.